

Form #E-17



Ethics Activity Report

Number of ethics complaints filed from 11/01/2009 to 6/30/2010
 Date Date

	Article(s) of the Code alleged to have been violated	Referred by Grievance Committee for hearing		Article(s) found by Hearing Panel to have been violated	Appeal of Hearing Panel decision		Board of Directors final action	Discipline imposed (if any)
		Yes	No		Yes	No		
Complaint #1	9	X		9, 16		X	Decision of the Hearing Panel adopted verbatim	\$350 Admin Fee \$200 Fine NAR COE class
Complaint #2	1,2,7,9	X		1,2,7,9		X	Decision of the Hearing Panel adopted verbatim	\$350 Admin Fee \$200 Fine 4 modules of FREP
Complaint #3	1		X					
Complaint #4	16	X		3,16		X	Decision of the Hearing Panel adopted verbatim	\$500 Admin Fee NCBR COE class
Complaint #5	15	X		15		X	Decision of the Hearing Panel adopted verbatim	\$350 Admin Fee NAR COE class

*No report shall include the names of individuals or firms. (Revised 11/00)